

**RECEIVED
CENTRAL FAX CENTER**

NOV 03 2005

PATENT

Applicant: **Peter J. Kuzma and Alan Green**
Title: **TRACKABLE FILES AND SYSTEMS FOR USING THE SAME**
Serial No. **09/863,752**
Filed: **May 22, 2001**
Art Unit: **2632**
Examiner: **Benjamin C. Lee**
Agent's File Reference: **9905-20**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. § 1.8

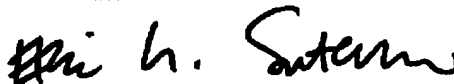
I hereby certify that on the date identified below, this correspondence and each paper listed below are being transmitted by facsimile to the United States Patent and Trademark Office to the facsimile number identified below.

November 3, 2005

Date

571-273-8300

Facsimile number



Signature

Eric K. Satermo

Typed or printed name of person signing Certificate

Each paper attached or enclosed:

1. LETTER;
2. CORRECTED AMENDMENT TRANSMITTAL;
3. Form PTO-2038—CREDIT CARD PAYMENT FORM;
4. a copy of AMENDMENT TRANSMITTAL;
5. a copy of Form PTO/SB/17—FEE TRANSMITTAL FOR FY 2005; AND
6. a copy of Form PTO-2038—CREDIT CARD PAYMENT FORM.

**RECEIVED
OIPE/IAP**

NOV 04 2005

**RECEIVED
CENTRAL FAX CENTER**

NOV 03 2005

Applicant: **Peter J. Kuzma and Alan Green**
Title: **TRACKABLE FILES AND SYSTEMS FOR USING THE SAME**
Serial No. **09/863,752**
Filed: **May 22, 2001**
Art Unit: **2632**
Examiner: **Benjamin C. Lee**
Agent's File Reference: **9905-20**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313

Sir:


An AMENDMENT AND RESPONSE was filed on October 31, 2005, in the subject application. An AMENDMENT TRANSMITTAL accompanied the AMENDMENT but incorrectly calculated the excess claim fees as \$300 rather than the correct amount of \$400 (indicating a \$100 fee for an extra independent claim, rather than the large-entity amount of \$200). Accordingly, a Corrected Amendment Transmittal is submitted herewith that reflects the correct amount and additional payment for the balance. Form PTO-2038 is submitted herewith to cover the \$100 balance. A copy set of the AMENDMENT TRANSMITTAL, Form PTO/SB/17—FEE TRANSMITTAL, and Form PTO-2038 filed on October 31, 2005, is transmitted herewith.

The authorized officer is invited to contact the undersigned if there are any questions.
Thank you.

Respectfully submitted,

Dated: November 3, 2005

17744 Skypark Circle, Suite 295
Irvine, California 92614
Telephone: (949) 752-9525
Facsimile: (949) 752-5585


Eric K. Satermo
Registration No. 40,159

11/04/2005 HDEMESS1 00000092 09863752

01 FC:1202

100.00 DP

**RECEIVED
CENTRAL FAX CENTER**

NOV 03 2005

Applicant: **Peter J. Kuzma and Alan Green**
 Title: **TRACKABLE FILES AND SYSTEMS FOR USING THE SAME**
 Serial No. **09/863,752**
 Filed: **May 22, 2001**
 Art Unit: **2632**
 Examiner: **Benjamin C. Lee**
 Agent's File Reference: **9905-20**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CORRECTED AMENDMENT TRANSMITTAL

Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313

Sir:

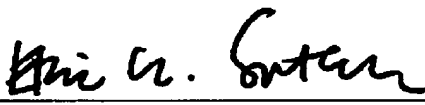
An AMENDMENT AND RESPONSE was filed in the above-identified application on October 31, 2005, which was accompanied by an AMENDMENT TRANSMITTAL that incorrectly calculated the excess claim fees as \$300 rather than the correct amount of \$400. This transmittal reflects the correct amount and additional payment for the balance.

	Claims Remaining After Amendment	Highest Number Previously Paid For	Number Extra	Small Entity Rate	Add'l Fee	Standard Rate	Add'l Fee
TOTAL	24	20	4	x \$25	\$0.00	x \$50	\$200.00
INDEP.	7	6	1	x \$100	\$0.00	x \$200	\$200.00
1 st Presentation of Multiple Dependent Claim				x \$180	\$0.00	x \$360	\$0.00
				TOTAL	\$0.00	TOTAL	\$400.00
				<i>Amount previously paid for:</i>			\$300.00
				<i>Balance:</i>			\$100.00

Form PTO-2038 is enclosed to cover the \$100 balance in the excess claim fees.

Respectfully submitted,

Dated: November 3, 2005


 Eric K. Satermo
 Registration No. 40,195

17744 Skypark Circle, Suite 295
 Irvine, California 92614
 Telephone: (949) 752-9525
 Facsimile: (949) 752-5585

BEST AVAILABLE COPY

PATENT

Applicant: **Peter J. Kuzma and Alan Green**
Title: **TRACKABLE FILES AND SYSTEMS FOR USING THE SAME**
Serial No. **09/863,752**
Filed: **May 22, 2001**
Art Unit: **2632**
Examiner: **Benjamin C. Lee**
Agent's File Reference: **9905-20**

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AMENDMENT TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313

Sir:

Transmitted herewith is an AMENDMENT AND RESPONSE in the above-identified application.


The fee has been calculated as shown below:

	Claims Remaining After Amendment	Highest Number Previously Paid For	Number Extra	Small Entity Rate	Add'l Fee	Standard Rate	Add'l Fee
TOTAL	24	20	4	x \$25	\$0.00	x \$50	\$200.00
INDEP.	7	6	1	x \$100	\$0.00	x \$200	\$100.00
1st Presentation of Multiple Dependent Claim				x \$180	\$0.00	x \$360	\$0.00
TOTAL					\$0.00	TOTAL	\$300.00

Form PTO-2038 is enclosed to cover the \$300 excess claim fees.

Respectfully submitted,

Dated: October 31, 2005


Eric K. Satermo
Registration No. 40,195

17744 Skypark Circle, Suite 295
Irvine, California 92614
Telephone: (949) 752-9525
Facsimile: (949) 752-5585

RECEIVED
CENTRAL FAX CENTER

NOV 03 2005

COPY

PTO/SB/17 (10-04)

Approved for use through 07/31/2006. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 750.00

Complete if Known

Application Number	09/863,752
Filing Date	05/22/2001
First Named Inventor	Peter J. Kuzma et al.
Examiner Name	Benjamin C. Lee
Art Unit	2632
Attorney Docket No.	9905-20

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number	
Deposit Account Name	

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 790	2001 395	Utility filing fee	
1002 350	2002 175	Design filing fee	
1003 550	2003 275	Plant filing fee	
1004 790	2004 395	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 44	Independent claims in excess of 3
1203 300	2203 150	Multiple dependent claim, if not paid
1204 88	2204 44	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	450.00
1252 430	2252 215	Extension for reply within second month	
1253 980	2253 490	Extension for reply within third month	
1254 1,530	2254 765	Extension for reply within fourth month	
1255 2,080	2255 1,040	Extension for reply within fifth month	
1401 340	2401 170	Notice of Appeal	
1402 340	2402 170	Filing a brief in support of an appeal	
1403 300	2403 150	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,370	2501 685	Utility issue fee (or reissue)	
1502 480	2502 245	Design issue fee	
1503 680	2503 330	Plant issue fee	
1480 130	1480 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 790	2809 395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 790	2810 395	For each additional invention to be examined (37 CFR 1.129(b))	
1801 790	2801 395	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify) Excess claim fees			300.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 750.00

SUBMITTED BY

Name (Print/Type) Eric K. Satermo

Signature

Registration No. 40,159
(Attorney/Agent)

(Complete if applicable)

Telephone 949-752-9525

Date 03-17-2005

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.